
Haringey Schools Forum

THURSDAY 20 OCTOBER 2016 AT 15:45 HRS FOR 16:00 HRS – PROFESSIONAL DEVELOPMENT CENTRE, DOWNHILLS PARK ROAD, TOTTENHAM, LONDON, N17 6AR

AGENDA

1. ELECTION OF CHAIR AND VICE CHAIR

The Forum is invited to consider the election of Chair and Vice Chair and to determine the term of office.

2. CHAIR'S WELCOME

3. APOLOGIES AND SUBSTITUTE MEMBERS

Clerk to report.

4. DECLARATIONS OF INTEREST

Declarations are only required where an individual member of the Forum has a pecuniary interest in an item on the agenda.

5. MINUTES OF THE MEETINGS OF 30 JUNE AND 15 SEPTEMBER 2016 (PAGES 1 - 14)

6. MATTERS ARISING

7. DEDICATED SCHOOLS BUDGET 2016/17 AND 2017/18 (PAGES 15 - 40)

1. To inform members of the final Dedicated Schools Grant allocation for 2015-16 and the latest Dedicated Schools Grant allocation for 2016-17.
2. To inform members of the latest projections for the 2016-17 Dedicated Schools Grant outturn and its implications for the Dedicated Schools Budget.
3. To update members on the national changes and local challenges affecting the 2017-18 Dedicated Schools Budget.
4. To consult with members on the proposed funding changes to Haringey's Schools Funding Formula for 2017-18.

8. ARRANGEMENTS FOR THE USE OF PUPIL REFERRAL UNITS AND THE EDUCATION OF CHILDREN OTHERWISE THAN AT SCHOOL (PAGES 41 - 48)

The report will update the Forum on the key issues regarding alternative provision with a view to the current position, plans and options.

9. EARLY HELP SERVICE UPDATE (PAGES 49 - 52)

To report on the implementation of a new approach to Early Help provision

10. FEEDBACK FROM WORKING PARTIES: (PAGES 53 - 56)

- Early Years
- High Needs (minutes 9 September 2016 attached)

11. WORK PLAN 2016/17 (PAGES 57 - 60)

To inform the Forum of the proposed work plan for 2016-17 and provide members with an opportunity to add additional items.

12. ANY OTHER URGENT BUSINESS

13. DATES OF FUTURE MEETINGS

- 1 December 2016
- 12 January 2016
- 23 February 2017
- 18 May 2017
- 29 June 2017

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**MINUTES OF THE SCHOOLS FORUM MEETING
THURSDAY 30 JUNE 2016**

Schools Members:

Headteachers: **Special (1)** - *Martin Doyle (Riverside),
Children's Centres (1) - Julie Vaggers (A) (Rowland Hill),
Primary (7) Dawn Ferdinand (A), (The Willow), *Fran Hargrove (St Mary's CE), *Will Wawn (Bounds Green) *Cal Shaw (Chestnuts), Julie D'Abreu (A) (Devonshire Hill), Nic Hunt (A) (Weston Park) *Angela McNicholas (OLM)
Secondary (2) * Helen Glass (Fortismere), *Tony Hartney (Gladesmore),
Primary Academy (1) Sharon Easton (A) (St Paul's and All Hallows)
Secondary Academies (2) Arthur Barzey (A) (Woodside), Michael McKenzie (A) (Alexandra Park)
Alternative Provision Dawn McLean (A)

Governors: **Special (1)** *Michael Connah (Riverside)
Children's Centres (1) *Melian Mansfield (Pembury)
Primary (7) Asher Jacobsberg (A) (Welbourne), *Laura Butterfield (Coldfall), *Andreas Adamides (Stamford Hill), *Zena Brabazon (Seven Sisters) *Lorna Walker (Rokesly Infants), Michael Cunningham (A) (Muswell Hill), *John Keever (Seven Sisters)
Secondary (3) * Imogen Pennell (Highgate Wood),
Primary Academy (1) VACANT
Secondary Academy (1) *Marianne McCarthy (Heartlands)

Non School Members:- **Non – Executive Councillor** - Cllr Waters (A)
Professional Association Representative – *Niall O'Connor
Trade Union Representative - *Pat Forward
14-19 Partnership – Rob Thomas (A)
Early Years Providers - *Susan Tudor-Hart
Faith Schools - Geraldine Gallagher (A)
Pupil Referral Unit – * Angela Ryan

Observers:- **Cabinet Member for CYPS** * (Cllr Erin Watson)

Also attending: Rory Kennedy, Assistant Director, Schools and Learning
Steve Worth, Finance Manager (Schools and Learning)
David Tully, Interim Head of Finance - Child, Adults and Schools
Douglas Cook, Traded Services Manager
Vikki Monk-Meyer, Head of Service SEN and Disability
Anne Woods, Head of Audit and Risk Management
Carolyn Banks, Acting Head of Governor Services
Nick Hewlett, Joint Interim Principal Advisor for Early Years Quality
Melanie Widnall, Joint Interim Principal Advisor for Early Years Quality
Gareth Morgan, Head of Service, Early Help and Prevention
Brenda Taverner, Haringey Cover Clerk (minutes)

* Members present

A Apologies given

TONY HARTNEY IN THE CHAIR

MINUTE NO.	SUBJECT/DECISION	ACTION BY
1	CHAIR'S WELCOME The Chair welcomed everyone to the meeting. Introductions were made.	
2.	APOLOGIES AND SUBSITITUTE MEMBERS	
2.1	Apologies: Mike McKenzie, Cllr Waters, Rob Curtis, Asher Jacobsberg, Dawn Ferdinand, Geraldine Gallagher, Julie D'Abreu, Sharon Easton and Nic Hunt.	
2.2	Substitutions: Peter Catling for Julie Vaggers (Rowland Hill).	
2.3	Resignations: not mentioned here but in 6.2. Michael Connah was due to resign after this meeting and the Haringey Governors Association had nominated Jean Brown as his replacement.	
2.4	New members: Natasha Lewis (Governor representative for Primary academies) and Johanna Hinshelwood (Governor Secondary maintained).	
3.	DECLARATION OF INTEREST	
3.1	None.	
4.	MINUTES OF MEETINGS HELD	
4.1	The minutes of the meeting held on 25 February 2016 were agreed as a correct record.	
4.2	The minutes of the emergency meeting held on 14 April 2016 to consider and respond to the National Schools Funding Formula consultation were agreed as a correct record.	
5	MATTERS ARISING	
5.1	There were none from the meeting held on 25 February 2016 .	
5.2	Meeting held on 14 April 2016 . Steve Worth advised that we should have a better idea of next year's financial position when the Stage 2 specific formula weighting is available, in early to mid July. The Funding Formula Sub-Group has been appointed.	
6	FORUM MEMBERSHIP AND REVIEW OF CONSTITUTION	
6.1	Carolyn Banks, Clerk to the Forum introduced the annual report. The appendix sets out the numbers and proportion of pupils across the school settings and phase. The formula suggests a reduction by one in Maintained Primary representation and an increase by one in Academy Secondary representation. The proposed membership, in line with constitution guidance and good practice is shown in paragraph 2.1 of the	

	report.	
6.2	Nominations for governor vacancies are Natasha Lewis as primary governor, Joanna Hinshelwood for secondary maintained governor and Jean Brown for special schools. Jean Brown replaces Michael Connah who resigns after this meeting.	
6.3	The future of the Forum needs to be considered as its role will change substantially when the 'hard formula' for schools is implemented in 2019/20. The Chair added that this could be the means to draw together interests across Haringey, expand into a consultative body for educational services, develop responses to challenges, and maintain harmony and unity across the borough, as the Local Authority reduces in remit and capacity. Following discussion, it was agreed that a remit for a group with a breadth of representation is drafted.	RK
6.4	Forum Discussion/Questions: The rationality of the <u>proportionate numbers</u> of maintained primary (13) to secondary (5) places was questioned, as the <u>proportion</u> would allocate 12 and 6 places respectively. As the regulations say that it should be broadly proportionate to pupil numbers in each sector, the Chair suggested that either methodological interpretation is correct.	
6.4.1	Following further discussion on the implications of the outcome, it was agreed to hold this over to the next meeting following further investigation and a clear statement setting out the rationale for the proportionate numbers.	SW/ CB
	RESOLVED: That the nominations for the governor vacancies for the secondary governor, primary academy and specials school be agreed.	
7	EARLY YEAR'S QUALITY IMPROVMENT AND OPERATIONAL STRATEGY	
7.1	Nick Hewlett and Melanie Widnall presented the report. The Strategy was presented to the Early Years Working Party. The priorities for development and improvement are to increase the % of high quality Haringey providers of early education and childcare ensuring equity of access; improve speech, language and communication of children across the years; and narrowing the gaps for targeted groups.	
7.2	The trajectory is positive. By December 2015, 80% of PVI settings were <i>good</i> or <i>outstanding</i> Ofsted rated, from 57% in 2011. GLD to 2015 is 67% against 50% in 2013. This is above national outcomes and in line with the London top quartile. GLD 2016 is now an unverified 73%.	
7.3	The <i>Early Years Improvement Group</i> is in its infancy and reviews the key indicators and targets of the EY Improvement Plan; the <i>School Improvement Monitoring Group</i> monitors the progress of all schools; the	

	<i>Early Years Monitoring Group</i> monitors the progress of EY childcare providers; and the <i>Early Years Safeguarding Forum</i> works to ensure high quality safeguarding practice, and that any concerns and incidents are dealt with effectively.	
7.4	<p>Forum Discussion/Questions: The 19% reduction over 5 years in the number of registered childminders could be due to the number of Childrens' Centres which are now closed. Nick said that increased quality of care and fewer childminders are preferable.</p> <p>Do you track children to see how they are performing as they move into schools? This has always been a challenge, it is complicated and we have not had the capacity to do so. It would be useful. The data is there in nurseries and we need to look at how to collect it.</p> <p>The support from the Early Years Support and Advisory Service to the PVI Sector is very good and should be acknowledged. The Quality Improvement Partnership's work with the NLCs could be stronger.</p>	
	<p>RESOLVED: The Strategy is agreed and shared with Early Years providers.</p>	NH/ MW
8	THE SCHOOLS' INTERNAL AUDIT PROGRAMME 2015/16 FEEDBACK	
8.1	Anne Woods, Head of Audit and Risk Management introduced the paper. There were no 'nil' assurance ratings given in the year. 22% of schools received a 'limited' level of assurance in the year against 62% in the previous year. It is good news.	
8.2	The most common areas of non-compliance with the Schools Finance Manual were <i>non-compliance with financial regulations; items missing or non-existent; and non-ratification/minuting.</i>	
8.3	<p>Forum Discussion/Questions: Can we afford all the follow-up visits? Anne replied that Audit operates on a risk basis so resources are diverted to where they are needed most. The Council decided that the cost be centrally borne as an additional resource for schools, and we hope that this is a one-off investment in this key risk area. Steve added that it is not charged to the DSG.</p> <p>The Forum wanted the audits to take place and schools needed to address the details that they were not aware of. The training has been well received, the financial processes and outcomes have improved – helped by the investment over the last 4 years.</p>	
	<p>RESOLVED: That the Schools Forum note the feedback on the work completed in 2016/16, including the results of the follow up audits on 2014/15 audits.</p>	
9	EARLY HELP SERVICE UPDATE	

9.1	Gareth Morgan, Head of Service, Early Help and Prevention introduced the paper which reports on the progress and impact of the Early Help Locality Model. He outlined the performance reach and impact (paragraph 2.1) which includes support to 91% of the Borough's schools overall, and an example case study.	
9.2	Forum Discussion/Questions: What is the 'additional support' needed? This is a new Phase 2 category of children identified by professionals as needing additional support. Those related to attendance and are referred to services such as Speech & Language. We're pleased to see a case study. Who is it audited by? The QA council process of cross-peer monthly audits, an internal practice designed to drive service improvement. How closely is the Service working with Childrens Centres and Nursery Schools? We have a manager overseeing this and an allocated support worker. 3% of referrals are from the CC and this is likely to increase.	
	RESOLVED: Forum members note the impact and progress made since the establishment of the Early Help Locality Model.	
10	SCHOOLS BUDGET OUTTURN 205/16 AND UPDATE ON DEDICATED SCHOOLS BUDGET 2016/17	
10.1	Steve Worth, Finance Manager (Schools & Learning) introduced the paper. The final value of the DSG for 2015/16 has not yet been announced. The final DSG for 2016/17 will not be confirmed until summer 2017. The cumulative carry forward is £3.252m.	
10.2	Early Years Block: The carry forward is -£0.799m. There is a difference between the numbers used in the January counts and those actually being funded, and the final EYB adjustment is yet to be announced.	
10.3	High Needs Block: The total carry forward is £-0.970m. This can be reduced to £-46k, subject to Forum agreement to contribute 2015/16 school rate rebates of £192k, school improvement underspend of £352k and a 2015/16 under allocation by £380k due to double counting of funding for Haringey Sixth Form Centre.	
10.4	School Balances: 4 Primary, 1 Secondary, 3 Special and 0 Nursery schools reported deficit budgets. Some schools have substantial balances, and in some cases these include funds held on behalf of the NLCs or the Nursery School Training Consortium.	
10.5	Forum Discussion/Questions: The School Revenue Balances (table 5) are running down. What might the figure be next year and how is it being monitored. We can	

	<p>only monitor the schools' quarterly returns submitted to us, but they are not the best set of final out-turns. Although the majority are balanced budgets, the number of deficit budgets is increasing in the face of greater pressure such as pensions, pay awards and the NFF. It is very important that governors and Head Teachers carry out strategic planning and develop action plans to deal with reducing balances, increasing costs and reduced NFF allocations.</p> <p>How will the Early Years overspend be dealt with? We have £3m reserves which will reduce year on year, and at a faster rate than anticipated. We are waiting to see what the 2016/17 adjustments will be.</p> <p>Will we continue paying £6 per hour? As the surplus balance is a one off, Forum will have to review this. On current spend, the surplus will last another 3 years based on the current numbers that we have.</p> <p>The proposal to apply the £352k School Improvement under spend against the HNB overspend was questioned as it might be required by the Service in 2016-17. It was explained that this would not be the case but that the underspend was a one-off particularly as the Education Bill proposes removing school improvement from the LA. We are looking at emerging school improvement models across the country. The major pressures are on the HNB and this is where the under spends are directed.</p>	
	<p>RESOLVED:</p> <ol style="list-style-type: none"> 1. The final DSG for 2015/16 and the latest allocation for 2016/17 are noted though the final figures are not yet available. 2. The position on Schools' Balances at March 2016 is noted. 3. The carry forward for the EYB, the HNB, Governor Support and the Contingency Fund is agreed. 4. Forum agree to apply the School Block under-spends set out in 2.9, 2.10 and 2.11 against the HNB overspend. 5. That a panel of members is appointed to agree allocations from the Contingency for Schools in Financial Difficulty. 	SW
11	HIGH NEEDS BLOCK UPDATE	
11.1	Vikki Monk-Myer, Head of Service SEN and Disability introduced the paper which outlined the borough's progress in each area of the reforms with outcomes and the financial impact explored. The overspend is higher than anticipated, the HNB is unlikely to have any further significant uplift in the future and therefore, resources need to be provided from within the budget.	
11.2	There are many issues for consideration. Are they discussed at a scrutiny panel? Is there joint funding for those going into adult services? There is a High Needs Sub-Committee and the minutes should be circulated to Forum members to share the good work. Work has begun on joint funding with adult social care to develop packages to meet young people's needs.	CB

	<p>RESOLVED: - To note overspend greater than anticipated caused by increased age range and unexpected spends. To update the action plan and share actions agreed with the HNB subgroup to mitigate the overspend.</p>	
12	ADMINISTRATIVE ARRANGEMENTS FOR THE ALLOCATION OF CENTRAL GOVERNMENT GRANTS PAID TO SCHOOLS VIA THE AUTHORITY	
	Steve Worth, Finance Manager (Schools & Learning) introduced the paper which is as set out in the title of the report. Steve asked for specific questions, of which there were none.	
	<p>RESOLVED:- That members note the report.</p>	
13	FEEDBACK FROM WORKING PARTIES	
13.1	Early Years and High Needs reported in agenda items 7 and 11.	
13.2	Douglas Cook, <u>Traded Services</u> Manager introduced the paper. This is the second year of traded services. Last year's income targets were met and the service is on track to meet this year's income targets. There are some challenging budgetary pressures and the services needs to be ready to realign their services alongside expected future reduced school funding. The number of other boroughs buying our services is increasing and we are developing new and improved offers.	
	<p>RESOLVED:- That members note the report.</p>	
14	WORKPLAN 2015/16	
	No additional items added.	
15	ANY OTHER URGENT BUSINESS	
	None.	
16	DATE OF FUTURE MEETINGS	
	<p>15 September 2016 (provisional to respond to NFF announcement - Gladesmore) 20 October 2016 1 December 2016 12 January 2017 23 January 2017 18 May 2017 29 June 2017</p>	

The meeting closed at 18.24 pm

TONY HARTNEY

CHAIR

**MINUTES OF THE SCHOOLS FORUM MEETING – SPECIAL MEETINGS
THURSDAY 15 SEPTEMBER 2016**

Schools Members:**Headteachers:****Special (1)****Children's Centres (1)****Primary (7)**

*Martin Doyle (Riverside)

*Julie Vaggers (Rowland Hill)

*Angela McNicholas (OLM)

*Dawn Ferdinand, (The Willow)

*Grant Bright for Julie D'Abreu
(Devonshire Hill)

*Will Wawn (Bounds Green)

(A) Helen Glass (Fortismere)

Sharon Easton (St Paul's and All

Elma McElligott (Woodside)

Dawn McLean

*Cal Shaw (Chestnuts)

Fran Hargrove (St Mary's CE)

Nic Hunt (Weston Park)

*Tony Hartney (Gladesmore)

Hallows)

Michael McKenzie (Alexandra Park)

Secondary (2)**Primary Academy (1)****Secondary Academies (2)****Alternative Provision****Governors:****Special (1)****Children's Centres (1)****Primary (7)**

Jean Brown (Riverside)

*Melian Mansfield (Pembury)

*Asher Jacobsberg (Welbourne)

*John Keever (Seven Sisters)

*Laura Butterfield (Coldfall)

(A) Zena Brabazon (Seven
Sisters)*Imogen Pennell (Highgate
Wood)

(A) Natalie Lewis

*Johanna Hinshelwood

(A) Andreas Adamides (Stamford Hill)

(A) Michael Cunningham (Muswell Hill)

* Shona Golightly for Lorna Walker
(Rokesly Infants)**Secondary (3)****Primary Academy (1)****Secondary Academies (2)****Non School Members:-****Non – Executive Councillor****Professional Association Representative****Trade Union Representative****14-19 Partnership****Early Years Providers****Faith Schools****Pupil Referral Unit**

*Cllr Ann Waters

*Ed Harlow

*Pat Forward

(A) Russ Lawrence

*Susan Tudor-Hart

*Geraldine Gallagher

(A) Angela Ryan

Observers: -**Cabinet Member for CYPS**

*Cllr Elin Weston

Also attending:

LBH Director of Children's Services

LBH Early Years Commissioning Manager

LBH Assistant Director, Schools and Learning

LBH Assistant Director, Quality Assurance, Early Help & Prevention

LBH Finance Manager (Schools and Learning)

LBH Head of Finance - Child, Adults and Schools

LBH Acting Head of Governor Services

Haringey Clerk (minutes)

*Jon Abbey

*Ngozi Afurono

(A) Rory Kennedy

(A) Gill Gibson

(A) Steve Worth

(A) Katherine Heffernan

(A) Carolyn Banks

*Jonathan Adamides-Vellapah

* Members present

A Apologies given

TONY HARTNEY IN THE CHAIR

MINUTE NO.	SUBJECT/DECISION	ACTION BY
1	CHAIR'S WELCOME The Chair welcomed everyone to the meeting.	
2.	APOLOGIES AND SUBSTITUTE MEMBERS	
2.1	Apologies: Noted.	
2.2	Substitutions: Noted.	
2.3	Resignations: Noted.	
2.4	New members: Ed Harlow is the new Professional Association Representative	
3.	DECLARATION OF INTEREST	
3.1	None.	
4.	DRAFT SCHOOLS FORUM RESPONSE TO GOVERNMENT CONSULTATION ON PROPOSALS FOR A NATIONAL FUNDING FORMULA FOR EARLY YEARS	
4.1	<p>Ngozi Anuforo: Early Years Commissioning Manager introduced the paper and noted that this was a draft response to the government's national consultation for the proposed funding formula.</p> <p>The final submission date is the 22 September 2016. This initial paper draws together the thoughts from the Early Years Working Group and has been to the forum for comment and ratification.</p>	
4.2	<p>The forum noted the following papers:</p> <ul style="list-style-type: none"> • The draft response to the consultation • The Department for Education Illustrative local authority allocations under the proposed early years national funding formula (EYNFF) – Early Years Block • The Department for Education Illustrative local authority allocations under the proposed early years national funding formula (EYNFF) – Step by step guide for LAs • A summary presentation called 'Early Years Providers Meeting: Proposals for a national early years funding formula', which was circulated at the meeting. 	
4.3	<p>Ngozi Anuforo: Early Years Commissioning Manager talked through the circulated Early Years Providers Meeting and the following was noted:</p> <ul style="list-style-type: none"> • Consultation launched 11 August 2016 • The is guidance to local authorities from the Department for Education on the administration of the entitlements • The amount that can be retained centrally • Haringey will receive a 0.5% increase • The Haringey universal base rate will be £4.74p, which will be an increase for providers (excluding premises funding for some settings) 	

	<ul style="list-style-type: none"> The funding held centrally by all local authorities will be capped to 5% by April 2018. <p>Q= How was the base rate calculated? A = The rate was arrived at from the consultation responses received back from providers. Local authorities were not invited to response, which is why it is important for all providers in all setting to respond to consultations.</p> <p>Q= There are differences in the base rates across the providers from 2016/17 to 2017/18, what is the impact? A= The impact will be a shift in council retained funding as the providers will receive an increase in base rate.</p> <p>Q= Maintained Nursery Schools are they included? A= No, they will be part of a separate funding stream provided through the DSG funding.</p> <p>Q= The additional hours. How will this be funded? A= The introduction of 30Hrs rate will be funded at the same rate in Haringey. The funding will be participation based i.e. January headcount will be used for determining the funding levels for the following year.</p> <p>Noted that:</p> <ul style="list-style-type: none"> A deprivation factor can be added and the LA can use which metric to apply The new disability access fund will be paid directly to the provider for each child that attracts this supplement There is a proposed inclusion fund, which will be pooled from the High Needs Block and Early Years block to meet the needs of children with SEN and disabilities. 	
4.3	The forum discussed the reponses to the questions and agreed to run through each question and take comments.	
	Q1: No amendments.	
	Q2: No amendments.	
	Q3: No amendments.	
	Q4: No amendments.	
	<p>Q5: The following was discussed:</p> <ul style="list-style-type: none"> Should there be consideration of the Disability Living Allowance This should be in addition to the LA proposed rate of £5.66 <p>AGREED – to expand on the response.</p>	
	Q6: No amendments.	
	Q7: No amendments.	
	Q8: No amendments.	
	Q9: The following was discussed:	

	<ul style="list-style-type: none"> • There needs to be reference to the general labour market methodology • A hybrid area cost adjustment seems to have been assumed in the examples circulated and should be addressed in the response. <p>Noted that the documents refer to Haringey as an inner London borough.</p> <p>AGREED: To try and draw reference to the presumed General Labour Market model, which has not been official agreed.</p>	
	Q10: No amendments.	
	Q11: No amendments.	
	Q12: No amendments.	
	Q13: No amendments.	
	<p>Q14: the following was noted:</p> <ul style="list-style-type: none"> • That some providers may receive less as they charge top up fees • Clarity was needed on how the deprevation funded will be allocated • PVI providers and child minders may be worse off or have nil benefit as base cost assumptions may not be correct • The base cost was arrived at from responses to the consultation/surveys sent to providers. 	
	Q15: No amendments.	
	Q16: No amendments.	
	Q17:Noted that the cap should be set at an appropriate level.	
	Q18: No amendments.	
	Q19: No amendments.	
	Q20: No amendments.	
	Q21: Insert that 'we do not know how to reward efficiency'.	
	Q22: Noted that the retention of high quality may become an issue.	
	Q23: No amendments.	
	Q24: Delete - we do not agree with with the of DLA	
	Q25: Noted that the issue of personal budgets has not been addressed and that there needs to be local discretion in delivering the funding.	
	Q26:consider adding in how the borough is addressing this.	

	Q27: No amendments.	
	Q28: No amendments.	
	Q29: No amendments.	
	Q30: No amendments.	
	Q31: No amendments.	
	Q32: No amendments.	
	Q33: Add in that additional funding will be needed.	
	Q34: Expand on not applicable to say, not applicable to Haringey.	
	Q35: No amendments.	
	Q36: Should make clear that not all nursery provision is included and all settings should receive the minimum funding guarantee. Sustainability is important and there are still a number of unanswered questions.	
	Q37: No amendments.	
	Q38: No amendments.	
4.4	Noted that it was proposed that meetings had been held with providers across the borough and they had been encouraged to respond to the consultation individually.	
4.5	It was discussed that a model response could be circulated to all providers and governors as there will be further opportunities to respond to consultations in October and December 2016.	
	RESOLVED: - The Schools Forum agreed the final response to the consultation (subject to amendment) is submitted to the Department for Education by the 22 September 2016. The final version should incorporate where possible the agreed amendments and reflect the discussions undertaken.	
5.	High Needs Block (Sub Committee) – Minutes 16 June 2016 The Forum noted the unapproved minutes from the High Needs Block. ACTION: Members were encouraged to feedback any comments to the Chair of the High Needs Block (Martin Doyle).	
6.	ANY OTHER URGENT BUSINESS Minutes of Working Groups and Sub Committees. Members agreed that the minutes of sub-committees and working groups, which report into the forum should be presented at each forum meeting. ACTION: Minutes of all working groups to come to the Forum. Forum	

	Chairs are requested to set dates so that minutes can be included in the forum papers.	
7.	DATE OF FUTURE MEETINGS <ul style="list-style-type: none">• 20 October 2016• 01 December 2016• 12 January 2017• 23 February 2017• 18 May 2017• 29 June 2017	

The meeting closed at 6.15 pm

TONY HARTNEY

CHAIR

**Agenda Item
7**



Report Status

For information/note
 For consultation & views
 For decision

The Children and Young People's Service

Report to Haringey Schools Forum – 20th October 2016

Report Title: Dedicated Schools Budget 2016-17 and 2017-18

Author:

Steve Worth, Finance Manager (Schools and Learning)
 Telephone: 020 8489 3708 Email: Stephen.worth@haringey.gov.uk

Purpose:

1. To inform members of the final Dedicated Schools Grant allocation for 2015-16 and the latest Dedicated Schools Grant allocation for 2016-17.
2. To inform members of the latest projections for the 2016-17 Dedicated Schools Grant outturn and its implications for the Dedicated Schools Budget.
3. To update members on the national changes and local challenges affecting the 2017-18 Dedicated Schools Budget.
4. To consult with members on the proposed funding changes to Haringey's Schools Funding Formula for 2017-18.

Recommendations, that:

1. Forum note the final 2015-16 and the latest 2016-17 Dedicated Schools Grants as set out in Table 3.

2. Members note the projected outturn for the 2016-17 Dedicated Schools Budget.
3. Members note the challenges for the 2017-18 Dedicated Schools Budget.
4. Members agree the recommended change to IDACI values for Haringey's 2017-18 Schools Funding Formula (Option 2).

1 Key Points.

1.1 Dedicated Schools Grant (DSG) 2016-17:

- 1.1.1 There is a small net increase of £50k in the High Needs Block.
- 1.1.2 Overall there is no net change in the Early Years Block as an increase in two year old funding of £380k is offset by reductions in three and four year old funding and Early Years Pupil Premium.
- 1.1.3 In addition to the 2016-17 DSG there are non-school DSG reserves of £3.252m, the majority of which was previously earmarked for early years.
- 1.1.4 There is a projected overspend on high needs of £0.88m in 2016-17 and delays in implementing the savings plan previously presented to Forum. The overspend will need to be contained within overall DSG resources, including reserves. Further work on this needs to be presented to the High Needs Block Sub-Committee and detailed papers presented to Forum in December and January. Strategic decisions will be required to ensure spend remains within overall resources.
- 1.1.5 The overall position reported at the end of August 2016 is:

Table 1a. Budget Monitoring Position for the Dedicated Schools Budget as at August 2016.

Block	Net Budget	Projected Spend	Variance
	£m	£m	£m
Schools	141.30	141.31	0.01
Early Years	15.46	15.47	0.01
High Needs	32.63	33.51	0.88
Total DSG	189.39	190.29	0.90

Table 1b Impact of forecast position in 2016/17 on DSG reserves

	1 st April 2016	Movement from Table 1a	31 st March 2017
DSG Reserves	3.25	-0.90	2.35

1.2 DSG 2017-18.

- 1.2.1 There are technical changes to rebase the funding blocks, adjust high needs funding for post 16 students and remove post 16 funding from the Schools Block.
- 1.2.2 Pupil numbers are expected to increase from 33,059 in October 2015 to an estimated 33,671 in October 2016. This would give an estimated increase of £3.57m in the Schools Block. Of this about £2.54m relates to maintained schools.
- 1.2.3 Changes in Local Authority (LA) funding will remove the Education Services Grant (ESG) from September 2017. There will be a transfer of ESG into the Schools Block of £0.55m. The LA can seek the Forum's permission to de-delegate funding for the remainder from maintained schools; the estimated gap in funding is £1.355m in 2017-18 rising to £2.286m in 2018-19.
- 1.2.4 The Apprenticeship Levy will be imposed from April 2017. This is a levy on all employers whose payroll exceeds £3m and is charged at 0.5% of the pay bill. All maintained schools where the Council is the employer will count against Haringey's levy, which will be passed on to schools. The position of voluntary aided and foundation schools is being clarified.
- 1.2.5 We are not proposing any changes in the funding formula for 2017-18 other than for national data changes. This report proposes changes in respect of the rebased Income Deprivation Affecting Children (IDACI) factor.
- 1.2.6 As noted in 1.1.4 the High Needs Block (HNB) is projecting an overspend in 2016-17. Delays in implementing savings will also reduce the ability to make savings in future years. The High Needs Block Sub-Committee will need to look at revised strategies to contain expenditure and report to Forum in December/January.
- 1.2.7 There will be a national funding formula for early years from April 2017. Overall this should see additional resources available for the majority of early years providers. There will be a significant reduction in the funding that can be retained centrally and this will have implications for children centres providing childcare. There will also be an increase in the hourly rate received for two year olds and the latest DSG allocation has seen an increase in the number of two year olds funded. Strategic decisions will need to be made in the use of DSB resources in setting budgets for 2017-18.
- 1.2.8 The budgetary pressures in the High Needs Block, if not contained within the block will further reduce reserves, as shown in Table 2. This

assumes that the pressures within both the Schools and Early Years Blocks will be contained within the resources available.

Table 2a: Dedicated School Budget Projections 2017-18.

Block	Budget	Projected Spend	Variation
	£m	£m	£m
Schools	196.48	196.48	0.00
Early Years	18.43	18.43	0.00
High Needs	35.34	35.36	0.02
DSG	250.25	250.27	0.02

Budgets are the Indicative adjusted budgets from Table 5 and HNB projections from 6.4.

Table 2b Impact of forecast position in 2017/18 on DSG reserves

	1 st April 2016	Movement from Table 2a	31 st March 2017
DSG Reserves	2.35	-0.02	2.33

2 Introduction.

- 2.1 This report updates Forum on the latest Dedicated Schools Budget (DSB) position for 2016-17, sets out the proposed changes to the Schools Funding Formula for 2017-18 and highlights some of the other changes to funding that will affect the DSB in 2017-18 and future years.
- 2.2 Further reports on the DSB will be brought to the Forum in December and January. Prior to that Haringey Council will consult on proposals to change the local Schools Funding Formula and the Early Years Funding Formula.

3 Current Financial Year.

- 3.1 The indicative Dedicated Schools Grant (DSG) is issued in the December preceding the start of the financial year. Thereafter it is normally updated twice to take account of revised early years numbers and any other changes.
- 3.2 The first update for 2016-17 and the final update for 2015-16 were issued in July and Table 3 compares the latest position for 2016-17 with that previously reported to Forum.
- 3.3 For 2016-17 the early years block remains the same in total but sees an increase in funding for two year olds (£0.38m) offset by a reduction in

both three and four year old funding (£0.23m) and in the Early Years Pupil Premium (£0.15m). The final allocation for 2015-16 was £85k higher than previously reported due to increased numbers of children in early years.

- 3.4 There is a £50k reduction in the 2016-17 High Needs Block (HNB) in respect of a change in further education place numbers with a corresponding movement of funds from the DSG to the Education Funding Agency (EFA). This was offset by a reduction in recoupment of £100k in high needs.

Table 3. Final Dedicated Schools Grant for 2015-16 and Latest for 2016-17.

Blocks	Final DSG 2015-16	Indicative DSG 16-17	DSG July 2016
	£m	£m	£m
Schools Block	192.64	195.54	195.54
High Needs Block	31.80	31.69	31.64
Early Years Block	15.54	15.45	15.45
Total DSG	239.98	242.68	242.63

Figures rounded by EFA to two decimal points

- 3.5 The foregoing are the block totals allocated by the EFA. Schools Forum has over a number of years reallocated resources between blocks resulting in the allocations set out in Table 4. Also shown is the money 'recouped' by the EFA for direct payment to academies and other providers. The allocation of the net DSG to individual budget heads is shown in Appendix 1. Members are asked to note the latest allocations.

Table 4. Reallocation of DSG Blocks and Net DSG after Recoupment.

Blocks	Forum Allocation	Recoupment	Net DSG
	£m	£m	£m
Schools Block	193.21	-51.91	141.30
High Needs Block	33.96	-1.33	32.63
Early Years Block	15.46	0	15.46
Total DSG	242.63	-53.24	189.39

- 3.6 In addition to in-year resources there are non-school DSG reserves of £3.252m brought forward from previous years. These cover:

Early Years	£3.035m
Schools in Financial Difficulty	£0.153m
Growth Fund	£0.070m
Governor Support	£0.040m
High Needs Block	<u>(£0.046)m</u>
Total	£3.252m

- 3.7 As reported to Forum on 25th February, there are significant pressures within the HNB. At that time it was reported that estimated HNB commitments were £33.21m compared with the (revised) £32.63m available, an in-year (revised) overspend of £0.58m. At that time, savings proposals of £0.50m were identified leaving an in year (revised) deficit of £0.08m.
- 3.8 Budget monitoring at the end of August showed a projected in year deficit of £0.88m for the HNB, in addition there is a deficit roll forward of £0.05m giving a projected cumulative deficit at year end of £0.93m. This will need to be contained within overall DSG resources, including reserves.
- 3.9 For the Schools Block a very small variance, £13k, was reported at the end of August.
- 3.10 For the Early Years Block the main determinant of any variation from budget will be the number of two year olds actually in place compared with numbers recorded in the January counts. Paragraph 3.3 notes the increase in funding of £0.38m and any remaining shortfall will be the first call against the brought forward balance set out in 3.6. At the end of August early years budgets were projecting a very small overspend of £5k.

4 Proposed Future Year Funding Changes.

- 4.1 The Department for Education is planning substantial changes to the Dedicated Schools Budget, school organisation and Local Authority (LA) responsibilities. These were set out in its stage one consultations on a national schools funding formula, funding high needs and an early years national funding formula. Plans for changes in school organisation and LA responsibilities were set out in the White Paper 'Education Excellence Everywhere'.
- 4.2 In preparation for a National Schools Funding Formula (NSFF) the Education Funding Agency (EFA) carried out a 'rebasin' exercise to reset the three existing blocks of the Dedicated Schools Grant¹ (DSG) and to create a new fourth block, the Central Block that would separate out the centrally retained items currently in the Schools Block.

¹ Schools Block, High Needs Block and Early Years Block.

- 4.3 The original intention was to introduce a NSFF from April 2017 but the introduction has been postponed for a year until April 2018. Similarly the plan to begin moving to a formula led High Needs block has been postponed but the rebasing of the block will be reflected in the 2017-18 DSG.
- 4.4 The DfE are expected to provide further details of the NSFF and high needs funding in their second stage of consultations expected in the autumn term.
- 4.5 A change that will begin in April 2017 is the move to an early years national funding formula.
- 4.6 One of the main proposals of the White Paper, enforced academisation, has been modified but other proposals in the White Paper, such as the removal of local authority responsibility for school improvement, are likely to remain.
- 4.7 Table 5 sets out the latest position for the 2016-17 DSG, including the adjustments notified in July, and estimates for the 2017-18 DSG.

Table 5 Comparison of 2016-17 DSG and Estimated 2017-18 Allocations.

Block	2016-17	EFA indicative 17-18	Indicative adjusted for estimated SB pupil numbers
	£m	£m	£m
Schools Block	195.54	192.36	195.92
ESG Transfer to SB		0.55	0.56
High Needs Block	31.64	34.48	34.48
Transfer of Post 16		0.86	0.86
Early Years Block	15.45	16.37	16.37
Extension to 30 hrs		2.06	2.06
Total	242.63	246.68	250.25

EFA estimates 21/7/16 updated to include DfE Illustrative early years estimates.

- 4.8 The following sections look at each funding block in more detail.

5 Schools Block 2017-18.

- 5.1 The 2017-18 Schools Block Funding allocation will be published in mid-December once the October census data has been validated. The allocation will be determined by pupil numbers and the Schools Block Unit of Funding (SBUF). The Block will also include the transfer of the Retained Funding element of the Education Services Grant, see 5.5.

5.2 Estimated pupil numbers for October 2016 are 33,671 compared with 33,059 on which the 2016-17 DSG was calculated. The 2017-18 indicative SBUF is £5,835.24 giving an estimated increase of £3.57m in funding. Of this about £2.54m relates to maintained schools.

5.3 Schools Funding Formula.

5.3.1 Although the NSFF has been postponed local authorities are still responsible for keeping the local funding formula under review. In preparing for 2016-17 the Forum set up a Formula Review Group to look in detail at the funding formula. Forum on 22nd October 2015 were informed that:

‘ The group’s view after considering the foregoing (comparative analyses) was that there should not be any general changes to the funding formula for 2016-17.’

The only exception was the removal of the secondary lump sum to create a secondary SEN contingency.

5.3.2 Subsequent changes to the national data underlying the Income Deprivation Affecting Children Index (IDACI) led to some changes with the aim of minimising turbulence; overall there was a net increase in deprivation funding.

5.3.3 The Group was reformed in preparation for the 2017-18 budget round and the introduction of the NSFF. As the NSFF has been postponed the Group took the view that, following last year’s review and the introduction of the NSFF in 2018-19, Haringey Council should not make changes to its funding formula for 2017-18 except where national changes are required.

5.3.4 There are two national data changes for 2017-18. The first is a rebasing of the IDACI bands following last year’s data changes; the second, a national weighting for secondary low attainment figures (2016 KS2 assessment), will not be available until December.

5.3.5 The IDACI alterations have been modelled with the aim of minimising year on year changes. Two options have been considered; the first is to apply values for all bands at 84.58% of the 2016-17 level (the overall percentage difference between the annual totals using the 16-17 values). The second option was to vary this percentage to achieve the closest total value to the 2016-17 allocation. Table 6 sets out the current and proposed rates for IDACI using this second approach.

Table 6. IDACI Bands and Funding Rates 2016-17 and Bands and Proposed Funding Rates 2017-18.

2016-17			Proposed 2017-18			% Change	
	Primary	Secondary		Primary	Secondary	Primary	Secondary
IDACI Band 1	251.75	437.64	IDACI Band F	213.99	376.37	0.85	0.86
IDACI Band 2	307.70	538.65	IDACI Band E	261.55	452.47	0.85	0.84
IDACI Band 3	391.60	685.54	IDACI Band D	332.86	589.56	0.85	0.86
IDACI Band 4	503.47	881.39	IDACI Band C	427.95	749.18	0.85	0.85
IDACI Band 5	615.35	1,077.28	IDACI Band B	523.05	915.69	0.85	0.85
IDACI Band 6	895.05	1,566.91	IDACI Band A	716.04	1,159.51	0.80	0.74

5.3.6 The impact of the proposed values is set out in Appendix 3, which shows the 2016-17 allocations, 2017-18 allocations if the current values are retained and allocations using the two options set out in 5.3.5. Appendix 4 sets out the background to the changes. Schools will need to be consulted on the proposed values. **Forum is asked to endorse the recommendation that the proposed 2017-18 values (option 2) be adopted so as to minimise year on year changes in deprivation funding.**

5.3.7 A further change for 2017-18 is the removal of the power to provide post 16 funding from the DSG. Historically Haringey has provided £381k, for Haringey Sixth Form Centre to reflect that the Centre was funded under school regulations and therefore eligible for grant funding that was subsumed into the DSG.

5.4 Centrally retained Schools Block funding.

5.4.1 Before allocating school funding through the funding formula some School Block funding is 'top-sliced' to be retained centrally. This can only be done with the Forum's permission. As part of the proposed changes the DfE plan to create a formula funded central block, moderated initially by historical commitments. Alongside the NSFF the creation of the new block has been postponed for a year.

5.4.2 Haringey Council's proposals for budgets to be centrally retained and de-delegated will be presented to Forum in December/January but will include additional elements for de-delegation because of the changes to the Education Services Grant, see 5.5.

5.5 Education Services Grant (ESG).

- 5.5.1 The ESG provides funding for central education services not covered by the DSG. The ESG is made up of two funding rates, the larger is the general funding rate through which LAs are financed to provide services for maintained schools, the smaller, £15 per pupil, is the retained duties rate for services provided to all state funded schools.
- 5.5.2 In 2016-17 Haringey received £2.235m for the general element and £0.549m for the retained duties element, a total of £2.784m. In Haringey the ESG has been treated as an addition to the general fund and the services it funds treated as core budgets.
- 5.5.3 It was announced in the 2015 Spending Review that savings of £600m from the general rate of the ESG would be made by 2019-20. The Schools Revenue Funding 2017-18 Operational Guide, published in July 2016, set out the ESG position for 2017-18 and future years. It announced that the general duties element will be removed from September 2017, with transitional arrangements for April 2017 to August 2017 to be announced later this year. The retained duties element will transfer into the DSG from April 2017.
- 5.5.4 The Education Funding Agency (EFA) recognises that LAs will need to use other sources of funding to pay for education services once the general rate element is removed and intend to allow LAs to retain (de-delegate) funding from the Schools Block of the DSG to cover statutory duties for maintained schools. Elements can also be retained from the HNB in respect of special schools and pupil referral units. The amount to be retained will need to be agreed with relevant members of the schools forum. If a consensus between an LA and its forum cannot be reached the matter can be referred to the Secretary of State.
- 5.5.5 The transitional arrangements for 2017-18 have not yet been published but it is assumed that this will be 5/12th of the annual allocation (£0.931m) leaving a shortfall of £1.355m² in 2017-18. In a full year the shortfall will be £2.286m.
- 5.5.6 Paragraph 5.2 sets out the estimated increase in pupil numbers and funding. The estimated increase of £2.54m in maintained school funding will provide an additional resource against which requests for additional de-delegation will need to be assessed.
- 5.5.7 The retained duties element will transfer into the Dedicated Schools Grant (DSG) from April 2017. In the first year it will form part of the Schools Block moving into the new Central Block in 2018-19. Although further guidance is due it is believed that this funding is to

² Net ESG (Table) £2.835m less retained duties £0.549 less estimated transitional funding £0.931m.

cover the three roles LAs will retain in respect of all state funded schools, namely:

- a. Ensuring every child has a school place.
- b. Ensuring the needs of vulnerable pupils are met.
- c. Acting as champions for all parents and families.

5.5.8 Alongside the reduction in the ESG are the proposed changes in LA duties set out in the White Paper, 'Education Excellence Everywhere'. These assumed the conversion of all maintained schools to academies, this has since been modified but the expectation remains that that the majority of schools will become academies.

5.5.9 The services covered by the ESG and their cost are shown in Table 7, for Asset Management the figure is based on the median figure for London authorities. Both this and the figure for Statutory Duties need to be reviewed. The following paragraphs give a brief description of the services.

Table 7. Services Funded from the Education Services Grant.

Service	ESG Expenditure	Other Income	Net ESG	Transfer to DSG**
	£000	£000	£000	£000
School Improvement and Curriculum Monitoring.	754.1	134.5	619.6	
Education Welfare	581.8	108.0	473.8	473.8
Music Service	1,608.7	1,605.8	2.9	
Statutory and Regulatory Duties*			1,326.0	75.2
Asset Management*			235.0	
Redundancy Costs	177.6	0	177.6	
Total			2,834.9	549.0

* To be reviewed

** To be confirmed.

5.5.10 The assumption that all schools would become academies effectively meant that responsibility for school improvement would cease to be a LA responsibility. The removal of the compulsory requirement to convert leaves this less clear cut.

5.5.11 Redundancy costs are a LA responsibility unless there are good reasons to expect a school to bear them. The LA is not responsible for such costs in academies so if more schools become academies the

need for this budget will reduce. In the current situation the demands on this budget are increasing as school budgets are cut in real terms.

5.5.12 Asset management covers the LAs landlord function and only relates to maintained schools; again the demand for this funding depends on the future pattern of academisation in Haringey.

5.5.13 Attendance and Welfare. The three future roles for LAs envisaged in the White Paper and set out in Paragraph 5.5.7 includes the welfare of vulnerable pupils. This can therefore be funded from ESG resources moving into the DSG.

5.5.14 Statutory Duties. This covers a variety of duties including the duty to appoint a Director of Children's Services, prepare and monitor budgets and financial regulations, provide internal audit, provide elements of human resource support, certain health and safety responsibilities and maintain the Standing Advisory Council on Religious Education. Some of these duties would diminish as schools convert to academies but others, such as the appointment of a Director of Children Services will remain. Whilst the LA continues to have maintained schools responsibilities will remain, as will the duty to appoint a Director of Children's Services.

5.6 Apprenticeship Levy.

5.6.1 This is being introduced in April 2017. The apprenticeship levy is payable by an employer, in any sector, with a pay bill of more than £3 million each year. For the purposes of the levy, an 'employer' is someone who is a secondary contributor; that is with liability to pay employers' National Insurance contributions (NICs) for their employees.

5.6.2 The levy will be charged at a rate of 0.5% of the annual pay bill. The detail of how this works in schools is still being investigated. It is expected that all maintained schools, excluding voluntary aided and foundation schools will count against the Council's levy and this will be passed on to those schools. Voluntary aided and foundation schools may be treated separately and only come into the scope of the levy where its payroll exceeds £3m.

5.6.3 The levy will be used to provide enhanced rates for apprentice training.

6 High Needs Block 2017-18.

6.1 The indicative HNB is set out in Table 5. This is the 'rebased' total. The EFA's guidance allowed some leeway in block totals and as it was expected that the Schools, Central and Early Blocks were to be allocated mainly through formula whereas the HNB would be largely

historical the block was maximised in the exercise. The effect of this on School and High Need Block budgets allocations, based on the Forum's decisions for 2016-17, are set out in Appendix 2.

- 6.2 The EFA have stated that there will be, as in previous years, an uplift nationally to be notified in December but warn that not all LAs will benefit. Last year Haringey's uplift was £0.4m but no uplift is assumed in the following.
- 6.3 Table 5 shows an increase in the HNB of £0.86m for a movement of post 16 funding. This is a transfer of place funding for high needs places in FE colleges and post-16 charitable and commercial providers. These institutions currently receive £6,000 per place from the EFA as part of their post-16 allocation. From 2017 to 2018 all of these places will be funded from the initial high needs block allocations to local authorities. Deductions will then be made to fund institutions directly, as a result of information collected from local authorities, before the high needs block allocations are finalised in March 2017. The EFA will continue to pay this place funding direct to institutions. This is assumed not to have an impact on the net HNB DSG.
- 6.4 Forum in February received a report on the pressures on the HND with an action plan to bring the block back in to balance. Paragraph 3.8 updates the projected HNB overspend for 2016-17. A major element in the recovery plan was the expectation that the new Grove free special school would help relieve the pressure on expensive placements. The opening of The Grove has been delayed; reducing anticipated savings by £0.228m in both 2017-18 and 2018-19. Other elements of the action plan are being reviewed and will be reported on in December and January. The impact of 2016-17 projections and the delays at The Grove give revised projections of:

Overspend brought forward	£0.05m
Projected 2016-17 overspend	£0.88m
Reported underspend 2017-18	(£0.342m)
Unrealised Grove Savings	£0.361m
Reported underspend 2018-19	(£0.474m)
Unrealised Grove savings	<u>£0.288m)</u>
Projected overspend end 2018-19	£0.763m

- 6.5 This assumes other savings are realised and no additional overspends arise in future years. This overspend needs to be contained within the overall DSB resources available and members should note this includes the non-schools DSG reserve set out in Paragraph 3.6.

7 Early Years Block 2017-18.

- 7.1 Forum on 15th September considered its response to the consultation on an early years national funding formula and will receive further

reports on the proposed funding arrangements for 2017-18. The following paragraphs set out the probable implications for Haringey in 2017-18

- 7.2 For the majority of LAs there will be a funding increase in the basic funding rate for two, three and four year olds plus additional funding for the extension of the free entitlement to 30 hours for working parents. The change in rates for Haringey will be:

Table 8. Early Years Hourly Funding Rates Received 2016-17 and Indicative Rates 2017-18 to 2018-19.

Item	2016-17	2017-18	2018-19
3 & 4 year olds	£5.63	£5.66	£5.66
2 year olds	£5.28	£5.66	£5.66

- 7.3 In cash terms this will generate the following for Haringey based on current numbers of children.

Table 9. Early Years Block Indicative Allocations 2016-17 to 2018-19.

Item	2016-17	2017-18	2018-19
	£m	£m	£m
3&4 year old 15hr entitlement	12.860	12.931	12.931
2 year old entitlement	2.420	2.599	2.599
Early years pupil premium	0.175	0.175	0.175
Nursery school supplement (1)		0.663	0.663
Total for existing activities	15.455	16.368	16.368
New activities			
3&4 year old 30hr entitlement	0	2.057	3.526
Total	15.455	18.425	19.894

(1) Currently funded from 3&4 year old 15hr entitlement.

- 7.4 In addition there will be targeted Disability Access Funding for children in receipt of the Disability Living Allowance that will be passported to the setting providing the place.
- 7.5 Currently there is no limit to the amount that can be retained centrally by the Council but centrally retained budgets must be approved by the Schools Forum. The DfE's proposal is that centrally retained budgets

will be capped at 7% of three and four year old funding in 2016-17 and 5% in 2017-18. Current centrally retained budgets are:

Table 10. Centrally Retained Early Years Block 2016-17.

Budget	£000
Childcare subsidy	1,427
Early Years Team	390
School Improvement	73
Other support costs	34
Total	1,924

7.6 The limit proposed for centrally retained budget will be:

2017-18	£1,049k
2018-19	£823k

7.7 This will require decisions on what will be funded centrally in future. The childcare subsidy provides support to Haringey's eight children's centres enabling lower fees to be charged to parents in the more deprived areas of the borough. Decisions here will necessarily impact on fees charged to parents.

7.8 The consultation is proposing a universal hourly base rate plus supplements capped at 10% of the delegated hourly rate. The 2017-18 delegated rate in Haringey will be £5.26 (93% of £5.66), of this up to just over £0.52 (10%) can be distributed through supplements. If the maximum supplement is applied £4.74 will be the universal base rate in 2017-18. The corresponding figures for 2018-19 are a base rate of £4.84 and a supplement of £0.54.

7.9 Current base rates vary between £3.37 and £4.27 so all settings will gain; however, current supplements account for 16.75% of funding so the impact on individual settings will depend on the impact of higher base rates and lower supplements.

7.10 Nursery schools are expensive settings and are difficult to fund through the medium of hourly rates and supplements. To maintain sustainability in Haringey a lump sum allocation of £237k is provided to each of the three nursery schools. The DfE proposes a new funding allocation for at least two years to maintain nursery sustainability. For Haringey this will be £663k. This is £49k less than the current allocation although the schools will also benefit from the higher base rate, although offset against this will be a probable loss of supplementary funding.

7.11 The DfE have indicated that there will be a separate consultation on the future of nursery school funding.

7.12 Two year old funding rates are currently £6 per hour, £0.72 higher than the funding received through the DSG. The DSG reserves contains £3.035m to enable the higher rate to be paid, although it is acknowledged that this could only be for a limited time and that other savings would need to be found if this was to continue. An additional call on the reserve has been the shortfall in the number of two year olds actually funded compared with the census counts on which the DSG is based. This situation appears to have improved in 2016-17 with an increase in two year old funding of £0.38m.

7.13 The increase in the EFA's funding rate for Haringey two year old to £5.66 and restrictions on centrally retained early years funds will require the review of the hourly rate paid by Haringey for two year olds.

Appendix 1 Allocation of Dedicated Schools Grant July 2016.

	Schools Block £m	High Needs Block £m	Early Years Block £m	Total £m
DSG	195.54	31.64	15.45	242.63
Recoupment	-51.91	-1.33	0.00	-53.24
DSG Budgets	143.63	30.31	15.45	189.39
Inter Block Transfers	-2.33	2.32	0.01	0.00
Revised Blocks	141.30	32.63	15.46	189.39
Delegated Budgets Schools	136.57	4.28	6.07	146.92
Growth Fund	1.18			1.18
Private Voluntary and Independent Early Years Children Centres EYSFF			4.33	4.33
Tuition Service		0.55	0.54	0.54
Total Delegated	137.75	4.83	10.94	153.52
Chld Resid'tial Placements	0.80			0.80
School Improvement	1.10	0.01	0.07	1.18
Schools Forum	0.01			0.01
Gov Supp & Training	0.13			0.13
Attendance and Welfare - Alternative Provision.		0.18		0.18
Simmons House		0.18		0.18
Alternative Prov Commissioning		1.14		1.14
Visual Impairment Provision		0.18		0.18
SEN Strategy Manager		0.14		0.14
Language Support Team (DSG)		0.46		0.46
SEN - Admin Team		0.18		0.18
Hearing Impairment Team		0.16		0.16
SEN - Transport - (DSG)		0.50		0.50
LOVAAS		0.03		0.03
Speech & Language Therapy		0.46		0.46
Parent Partnerp Mark		0.10		0.10
Autism Support Team		0.19		0.19
Private, Voluntary and Independent Special Schools		5.59		5.59
Special Schools Top Up		6.24		6.24
Mainstream Schools Top Up		4.49		4.49
Special Units Top Up		0.68		0.68
Higher Education Top Up		2.14		2.14
SEN contingency		1.46		1.46
High Needs in Early Years		0.26		0.26
Integ. Work.&Fam.Supp	0.35	1.00		1.35
Supplementary & Community Schools	0.03			0.03

Child Care Commissioning			1.43	1.43
2 Year Old Funding			2.42	2.42
Early Years Team			0.39	0.39
Music Service	0.17			0.17
Overheads (DSG)	0.19	0.80	0.02	1.01
Admissions	0.30			0.30
IYFAP		0.34		0.34
TU Representation	0.12		0.02	0.14
Contingency for Schools in Financial Difficulty	0.18	0.01		0.19
Licences	0.18			0.18
Portage		0.20		0.20
Pathways to Early Intervention		0.40		0.40
Early Years Pupil Premium			0.17	0.17
Brining in Fund		0.30		0.30
	<u>141.30</u>	<u>32.63</u>	<u>15.46</u>	<u>189.39</u>

Appendix 2.

School & High Needs Block Indicative Allocation 2017-18.

	Schools Block	HNB
	£m	£m
Indicative DSG Blocks	192.36	34.48
Delegated Budgets Schools	188.10	5.61
Growth Fund	1.18	
Tuition Service		0.55
Total Delegated	189.28	6.16
Chld Resid'tial Placements	0.80	
School Improvement	1.10	0.01
Schools Forum	0.01	
Gov Supp & Training	0.13	
Attendance and Welfare - Alternative Provision.		0.18
Simmons House		0.18
Alternative Prov Commissioning		1.14
Visual Impairment Provision		0.18
SEN Strategy Manager		0.14
Language Support Team (DSG)		0.46
SEN - Admin Team		0.18
Hearing Impairment Team		0.16
SEN - Transport - (DSG)		0.50
LOVAAS		0.03
Speech & Language Therapy		0.46
Parent Partnerp Mark		0.10
Autism Support Team		0.19
Private, Voluntary and Independent Special Schools		5.59
Special Schools Top Up		6.24
Mainstream Schools Top Up		4.49
Special Units Top Up		0.68
Higher Education Top Up		2.14
SEN contingency		1.46
High Needs in Early Years		0.26
Integ. Work.&Fam.Supp	0.35	1.00
Supplementary & Community Schools	0.03	
Music Service	0.17	
Overheads (DSG)	0.19	0.80
Admissions	0.30	

IYFAP		0.34
TU Representation	0.12	
Contingency for Schools in Financial Difficulty	0.18	0.01
Licences	0.18	
Portage		0.20
Pathways to Early Intervention		0.40
Bringing in Fund	-0.47	0.82
	<u>192.36</u>	<u>34.48</u>

Appendix 3 IDACI Allocations 2016, 2017 Allocations Using 2016 Values and Options for 2017 Value.

School Name	a	b	c	d	e	f
	2016-17 Total IDACI £	2017-18 with 16-17 Values Total IDACI £	2017-18 Uniform 85% of 16- 17 values Total IDACI £	2017-18 Minimising Differences Total IDACI £	Difference c-a Total IDACI £	Difference d-a Total IDACI £
Total	12,908,149	15,261,145	12,948,956	12,911,563	40,807	3,414
Alexandra Park	313,142	355,544	300,719	302,251	-12,422	-10,890
Alexandra Primary	121,231	134,624	113,865	114,249	-7,366	-6,982
Belmont Inf	57,960	64,299	54,384	54,654	-3,576	-3,306
Belmont Jnr	74,821	84,871	71,784	72,050	-3,037	-2,771
Bounds Grn Inf	58,881	67,271	56,898	57,091	-1,983	-1,790
Bounds Grn Jnr	33,502	39,183	33,141	33,260	-361	-241
Brook House	104,258	113,236	107,510	107,625	3,252	3,366
Bruce Grove	176,201	211,102	178,550	178,983	2,349	2,782
Campsbourne Inf	46,458	52,694	44,568	44,744	-1,890	-1,714
Campsbourne Jnr	54,338	61,374	51,910	52,168	-2,428	-2,170
Chestnuts	141,734	160,641	135,870	135,318	-5,864	-6,415
Coldfall	66,518	67,972	57,491	57,776	-9,027	-8,742
Coleridge	107,949	123,481	104,440	104,914	-3,509	-3,035
Crowland	122,078	140,343	118,702	118,882	-3,377	-3,196
Devonshire Hill	181,608	222,689	188,350	188,964	6,743	7,356
Earlham	135,929	153,860	130,134	130,781	-5,795	-5,149
Earlmead	194,558	230,826	195,232	195,211	674	653
Eden Primary	6,653	6,408	6,056	6,086	-597	-567
Ferry Lane	83,662	110,941	93,834	91,947	10,172	8,285
Fortismere	151,599	167,529	141,696	142,550	-9,903	-9,049
Gladesmore	853,070	1,029,284	870,568	862,606	17,498	9,536
Greig City	601,736	723,522	611,955	605,683	10,219	3,947
Harris Coleraine	164,450	206,044	174,272	173,854	9,823	9,405
Harris Philip Lane	161,416	190,720	161,311	161,932	-105	516
Harris Tottenham	203,330	180,713	209,064	206,882	5,735	3,553
Heartlands High	641,230	764,748	631,048	631,461	-10,182	-9,769
Highgate Primary	21,229	23,535	19,906	19,959	-1,324	-1,270
Highgate Wood	359,713	409,308	346,193	345,618	-13,520	-14,095
Holy Trinity	83,072	100,628	85,111	85,125	2,039	2,053
Hornsey	490,245	581,420	491,765	489,859	1,520	-385
Lancasterian	189,674	228,435	193,210	193,214	3,536	3,540
Lea Valley	206,711	265,670	224,704	221,392	17,994	14,682
Lordship Lane	256,298	294,870	249,401	249,737	-6,897	-6,561
Muswell Hill	12,676	14,194	12,005	12,020	-671	-656
Noel Park	207,133	242,941	205,480	206,229	-1,654	-905
North Harringay	133,371	153,300	129,661	129,990	-3,710	-3,381
North'land Park	817,281	1,009,006	853,417	842,778	36,136	25,497
Our Lady of Muswell	61,388	67,852	57,389	57,539	-3,999	-3,849
Park View	755,188	898,297	759,780	758,366	4,592	3,178
Rhodes Avenue	13,562	14,010	11,850	11,909	-1,712	-1,653
Risley Avenue	276,941	339,880	287,471	285,237	10,529	8,296

Rokesly Inf	32,082	33,792	28,581	28,723	-3,501	-3,359
Rokesly Jnr	51,229	54,999	46,518	46,614	-4,711	-4,615
Seven Sisters	153,927	175,462	148,406	148,919	-5,521	-5,008
South Hgy Inf	37,637	40,450	34,212	34,292	-3,425	-3,345
South Hgy Jnr	65,356	74,221	62,776	62,816	-2,580	-2,540
St Aidan's	27,672	29,295	24,778	24,901	-2,895	-2,772
St Ann's CE	81,982	95,060	80,402	80,526	-1,580	-1,456
St Francis de Sales	154,204	187,550	158,630	158,873	4,426	4,668
St Francis de Sales	115,241	140,023	118,432	118,434	3,191	3,193
St Gildas'	47,631	52,719	44,590	44,625	-3,041	-3,006
St Ignatius	129,550	152,506	128,990	128,997	-561	-553
St James	15,291	16,655	14,086	14,156	-1,204	-1,134
St John Vianney	74,314	86,165	72,878	73,148	-1,435	-1,166
St Martin of Porres	28,047	30,571	25,857	25,985	-2,191	-2,062
St Mary's CE	147,595	169,197	143,107	143,367	-4,488	-4,229
St Mary's RC Inf	69,876	83,602	70,710	70,521	835	646
St Mary's RC Jnr	92,087	108,940	92,142	91,970	55	-117
St Michael's N22	74,965	86,769	73,389	73,525	-1,576	-1,440
St Michael's N6	15,046	15,947	13,488	13,555	-1,558	-1,491
St Paul's	73,850	83,182	70,356	70,525	-3,495	-3,325
St Paul's & AH Inf	69,252	85,777	72,550	72,263	3,297	3,011
St Paul's & AH Jnr	96,637	118,750	100,439	100,114	3,802	3,477
St Peter In Chains	32,340	36,097	30,531	30,592	-1,809	-1,748
St Thomas More	524,489	621,559	525,715	523,101	1,226	-1,388
Stamford Hill	76,550	92,381	78,135	78,156	1,585	1,606
Stroud Green	117,428	137,115	115,972	116,048	-1,456	-1,380
Tetherdown	1,618	1,844	1,560	1,568	-57	-50
The Mulberry	276,135	354,200	290,996	289,799	14,861	13,664
The Willow	187,129	228,679	193,417	193,704	6,288	6,575
Tiverton	159,824	199,234	168,512	167,951	8,688	8,127
Tottenham UTC	42,181	51,445	43,513	42,906	1,332	725
Trinity	128,774	146,438	123,857	124,427	-4,917	-4,348
Welbourne	243,876	305,111	258,063	255,511	14,186	11,635
West Green	75,852	92,967	75,486	75,596	-365	-256
Weston Park	26,868	29,395	24,862	24,851	-2,006	-2,017
Woodside High	618,821	735,785	622,327	619,612	3,506	791

Appendix 4.

New IDACI bands for 2017 to 2018

The Income Deprivation Affecting Children Index (IDACI) dataset is updated every five years by the Department for Communities and Local Government. The most recent update to the dataset, which took effect in local authorities' 2016 to 2017 schools block dataset in December 2015, showed a markedly different distribution to the previous 2010 dataset. The DfE recognise that the 2015 data update created unexpected and unhelpful turbulence in budgets, towards the latter stages of the local formula-setting process.

The DfE have considered the concerns raised by local authorities and views expressed through the first stage national funding formula consultation, and have decided to update the IDACI banding methodology to return the IDACI bands to a roughly similar size (in terms of the proportion of pupils in each band) as in 2015 to 2016. The revised bands are named "A" to "G"; with the most deprived neighbourhoods being captured by band "A" (previously bands 6 and 5). For future data updates, the DfE will set out plans for managing the change in data by adjusting the band boundaries more promptly.

The draft Authority Pro-forma Tool (APT) used to model the funding allocations uses the October 2015 data and the new IDACI band definitions. In the final 2017 to 2018 APT to be issued in December, local authorities will receive October 2016 census data matched to the new IDACI bands.

The following table shows the proportion of pupils in each IDACI band in the 2015 to 2016 schools block dataset and the 2016 to 2017 schools block dataset and the 2016 to 2017 dataset mapped onto the new IDACI bands. It also shows the proportion of Haringey pupils in each band.

The data in this table has been produced by the EFA by taking the postcodes of each pupil sourced from the schools census in October 2015, and matching them to an IDACI score, and hence IDACI band. The total number of pupils mapped onto each band is then calculated, and proportions calculated.

Table: Proportion of pupils in each IDACI band in the 2015 to 2016 and 2016 to 2017 schools block dataset mapped on to new bands

Former Bands	IDACI Score	% Pupils in each band 2015-16	% Pupils in each band 2016-17	New Bands 2017-18	IDACI Score	% Pupils in each new band (national)	% Pupils in each new band (Haringey)
6	0.60 – 1.00	3	1	A	0.50 – 1.00	3	
5	0.50 – 0.60	6	3	B	0.40 – 0.50	8	
4	0.40 – 0.50	10	8	C	0.35 – 0.40	7	
3	0.30 – 0.40	12	14	D	0.30 – 0.35	8	
2	0.25 – 0.30	7	9	E	0.25 – 0.30	9	
1	0.20 – 0.25	8	10	F	0.20 – 0.25	10	
0	Less than 0.20	53	56	G	Less than 0.20	56	



<p>Report Title:</p> <p>Arrangements for the use of pupil referral units and the education of children otherwise than at school</p>
<p>Author:</p> <p>Deborah Tucker, Alternative Provision Commissioner</p>
<p>Purpose:</p> <p>The report will update the Forum on the key issues regarding alternative provision with a view to the current position, plans and options.</p>
<p>Recommendations:</p> <p>Schools' Forum are requested to express views regarding progress and plans going forward</p>
<p>Date: 20th October 2016</p>

1. Introduction

1.1 Schools' Forum will recall that a report on 'Arrangements for the use of pupil referral units and the education of children otherwise than at school' was presented on 22nd October 2015. This report provides the Forum with an update on progress regarding provision arranged for children educated in alternative provision and key commissioning priorities to children, young people and schools in the borough.

2. Background

2.1 The Alternative Provision Commissioner's previous report highlighted the inter-related components between the strategy to reduce school exclusions in the borough and the further development of the range and type of commissioned PRU and alternative provision (AP) programmes to give local schools increased confidence in commissioning AP as a secure alternative to permanent exclusion for vulnerable learners. The programme of work initiated April 2014 – October 2015 was outlined as follows:

- Closure of the Octagon Secondary PRU in April 2014
- Securing the reconfiguration of the primary and secondary PRU under the auspices of the Octagon AP Academy, Tri Borough Alternative Provision Academy
- The creation of the Haringey KS4 Alternative Provision Roll
- The creation of an Alternative Provision Commissioning budget
- Improved quality assurance and performance monitoring systems for alternative provision
- Creation of the Virtual Alternative Provision Forum and

- The commissioning of an alternative provision data base

3. Progress to date

3.1 The Octagon AP Academy (TBAP)

In order to meet our statutory obligations (following permanent exclusion) and to ensure sufficiency of places for earlier intervention the Council has maintained 58 commissioned places at the Octagon AP Academy (OAPA).

Taking into account that numbers are small (12 YR11s in 2014/15 and 11 in 2015/16) the proportion of students getting multiple GCSEs fell compared to 2014/15. The proportion obtaining 5 GCSEs was 54% last year representing 2 students but only 18% this year representing 1 student. The good news is that there has been an improvement in the proportion of learners getting at least 1 good GCSE (the proportion getting at least 1 GCSE A*-C) which rose from 62% last year to 82% this year. Of the 8 learners taking Home Cooking, all of them Achieved BTEC Level 2 Award and of the 7 learning taking Functional Skills Maths, 6 reached entry level 2 or higher.

Attendance averaged 81%.

3.2 Fixed term and permanent exclusions

Although there was a 15% increase (19 to 22) in the number of permanent exclusions from secondary schools between 2014/15 – 2015/16 the arrangements with OAPA contributed to keeping this increase small via access to schools for managed moves (5 secondary aged students) and short managed intervention placements based at their premises in the Irish Centre in Tottenham.

In 2015/16 68 students (38 KS3 and 30 KS4) were referred for managed interventions based at the Irish Centre in Tottenham and a 'Weapons Awareness Programme' was initiated in Summer 2015/16 which, in conjunction with the Youth Justice Service (YJS) and police, aims to reduce the number of permanent exclusions and the prevalence of knife carrying and use by young people in the borough.

17 students were permanently excluded for 'possessions of weapon' across 2013/14 – 2015/16, averaging 5 secondary aged students per year. Numbers referred for the intervention are low but in accord with the rate for children excluded for weapons as a one off incident.

Given the number of referrals for children at risk of permanent exclusion we are able to estimate that there was the potential for approximately 40 recorded secondary school permanent exclusions in the borough 2015/16 as opposed to 22. A reduction in the number of fixed term exclusions from 1 secondary school is partially attributed to the use of managed intervention places. A full evaluation to ascertain impact, i.e. reduction of fixed term exclusions and non escalation to permanent for the students who have progressed to KS4 is pending.

The Octagon AP Academy made a significant contribution to the borough's zero permanent exclusions for primary aged students in 2014/15 and in keeping numbers low (1 permanent exclusion) in 2015/16.

In the academic year 2015/16 a KS1 class was commissioned at an additional cost of 50k in order to meet demand following the high number of KS1 children at risk of permanent exclusion. Across the year 5 children on the cusp of permanent exclusion were placed. They were dual registered and returned to school after an interim period. The class had

capacity for four children but this additional support was decommissioned at the end of the academic year in order that sustainable avenues of support were pursued and secured.

3.3 The KS4 Alternative Provision Roll

The ambition is to ensure a flexible, expandable alternative provision model, able to adapt to demand but also to control the need for high cost provision. It is considered that some progress towards this is being made.

Additional places have been commissioned of the type able to deliver relevant, good and outstanding education. Provision able to supply both in and outreach support to schools has also been increased alongside traditional short and long term places.

In 2015/16 of the 81 students on roll through the year 65 students were entered into an exam, 16 were not. Among the 16 who did not complete an exam, 11 had only enrolled on the programme after April 2016. Across functional skills assessments 75% of exams taken were passed. For GCSE the pass rate was higher – all of the students sitting an English, Maths and ICT GCSE passed for other GCSE subjects the pass rate was closer to 80%.

4. Strategic priorities 2016 – 2018

The overarching priority is to ensure that children are supported to succeed in mainstream school but that where that is not possible (for a variety of reasons) they have access to good and outstanding education able to meet their needs. In partnership with schools, the priorities are to:

- Support the reduction of secondary school fixed term exclusions equivalent to national rates from 8.5% - 6.5% by 2018;
- Reduce pressure on the High Needs Block;
- Review of the Tuition Service and
- Support the development of SEMH provision in the borough

4.1 Alternative Provision and the reduction of fixed term and permanent exclusions

The key task is to ensure that the educational, social and emotional needs of students not in mainstream and special school are met effectively.

Secondary Schools

Alternative Provision has an important part to play in bridging the achievement gap between black and black Caribbean boys who are twice as likely to be excluded from school as their peers in the borough. In partnership with head teachers the scope of alternative provision will include commissioning services able to support vulnerable children through transition and provide a continuum of opportunities for leadership, challenge, participation and academic excellence in school and the community.

To further support the reduction of permanent exclusions whilst giving children the opportunity (where appropriate) to continue their education in mainstream school the Council plans to secure and fund a rolling place at TBAP's Managed Intervention Centre (based at the Irish Centre). This placement will be available to secondary schools for 5 week assessments and managed interventions prior to implementation of a managed move (submitted via In Year Fair Access Panel IYFAP) and for children with more complex needs referred to schools by Admissions via IYFAP.

The placement will be funded by Money Following Exclusions at a cost of £11, 600 per academic year.

Collective responsibility is an integral part of the In Year Fair Access Protocol. Head teachers are asked to discuss and agree thresholds for access to provision for children experiencing multiple fixed term exclusions (i.e. more than 3 per term) or who are at risk of permanent exclusion. This group of children will also be referred to Early Help and monitored via an Exclusion/Inclusion Panel from Spring 2017.

There was limited access to secondary schools where head teachers were able to refer YR11 students to the KS4 Haringey Alternative Provision Roll if the student met the threshold for permanent exclusion but placement at OAPA was assessed as inappropriate. Schools paid the full cost of provision directly to the local authority to cover the risk of placement breakdown.

Where there is full consultation and agreement with the parent and child and where needs can be well met, this strategy would constitute a viable alternative to permanent exclusion. It is suggested that this offer can be further extended to schools but that it is held within the medium of the In Year Fair Access Panel. Head teachers will hold each other to account and evaluate whether the threshold for placement has been met but they may also wish to discuss options for a managed move. Due to limited capacity in the KS4 Alternative Provision team it is recommended that the number of children referred does not exceed 5 per academic year and is balanced with the number of children with complex needs referred in the normal way.

Primary Schools

The task is to encourage intervention which is sufficiently early to reduce the need for alternative provision and to reduce the number of fixed term and permanent exclusions.

This new direction moves away from a borough wide collective commissioning of behaviour support to a direct model of targeted support via commissioned services which enable children to remain included or re-integrated into main stream school. The strategy aims to use limited funding for joint commissioning between schools and the Council in order to provide direct, onsite support to the primary schools that need it most. On a strategic deployment basis, the aim is to develop the workforce and restructure provision giving children greater opportunities for building better relationships, enjoying and taking part in activities, learning new skills and becoming more mindful of their inner resources. Schools were consulted based on historic, higher than average rates of fixed term exclusions and children at risk of permanent exclusion and levels of referrals to Early Help.

In this project targeted support takes on a broader, school wide aspect towards 'universal targeting'. We will move closer to ensuring that we are able to capture a broader range of children not simply defined by their current 'exclusion' status but also those who have been identified as not having the protective factors which may put them at increased risk of exclusion at secondary school. Impact will be measured against initial school level data on attendance levels, rates of referral for external support, fixed term exclusion/ internal exclusion rates and the self assessment health survey

4.2 Pressures on the High Needs Block

The High Needs Block is under severe pressure and there is a need to reconfigure and make best use of resources in order that the provision offer is of high quality and is able to meet and control demand.

Financial Context

The Alternative Provision budget totals 1.4M for the financial year and constitutes funding outlined in Table 1. Table 2 shows funding distribution accordance with place and pupil led funding and the number of placements commissioned by from each service.

Provision	Funding level
Octagon AP Academy	0.775
Tuition Service	0.077
SEND additional costs	0.130
Haringey Alternative Provision (IYFAP)	0.338
Additional Placement Commissioning	0.081
Commissioning costs	0.075
Total	1.4m

Table 1 Alternative Provision Budget 2016/17

	Place led funding (EFA)	Pupil Led Funding (HNB)	Number of places	Other	Total
Octagon AP Academy	580K	775K	58		1.3m
Medical Needs Tuition Service	550K	77K	55		0.625
Simmons House	180K		12		0.180
Haringey Alternative Provision			80 (Predicted)	338K Transferred from school budget shares to HNB	0.338

Table 2 Alternative Provision Placements and Funding Source 2016/17

The High Needs Block Sub Committee will be kept informed of further monitoring and budget projections which will take into account use of Money Following Exclusions in such a way that the amount designated to Additional Commissioning Placements are reduced.

4.3 Haringey Tuition Service

The Haringey Tuition Service currently has an interim head teacher who has led on recent risk assessments of Bruce Grove (the base for the onsite provision), admissions procedures and student placements. The need for a wide ranging review of the service has been identified to ensure that the provision made by the Service meets the current and future needs of the children and young people of our borough and also of relevant stakeholders.

Currently, referrals received are for students with a variety of complex medical, emotional and learning needs and the purpose of the provision is to help the young people return to the appropriate educational setting at the earliest opportunity. This function will not change but as indicated at Schools' Forum October 2015 there is scope for a tiered approach to

access in conjunction and the development of further links with CAHMS and other partners to shape resources.

The review of the Tuition Service is likely to cover the following areas:

- Current delivery via i) full or part time attendance on-site ii) off site tuition (in homes or named settings) and iii) at Simmons House.
- Status of the Service
- Admissions arrangements
- Location of the Service
- Purpose of Service
- Budget, including how delegated budget is managed by the Head teacher/Management Committee (including provisions of Scheme of Delegation)
- Future funding
- Curriculum offer
- Commissioning of hours/places from SEN/AP/any other

4.4 Social Emotional Mental Health Needs and Alternative Provision (SEMH)

There is an increasing level of need for provision SEMH in the borough and it is a priority that local provision is developed which effectively reflects a holistic aspect of SEMH need and does not focus solely on manifestations expressed through challenging behaviour and school exclusion. Provision must be accessible both as part of a planned early intervention offer as well as through crisis intervention and reflect comprehensive assessment, clearly expressed expected outcomes, explicit partnership roles and responsibilities as well as high quality academic/vocational curriculum and value for money.

In order to meet current and future need, provision will need to address specific groups of children, including those:

- in Child and Adolescent Mental Health Services (CAMHS) tier 2/3 with SEMH;
- missing from education with tier 2- 3 assessed SEMH;
- with SEMH and at risk of permanent exclusion;
- with an Education, Health and Care Plan who have been permanently excluded and
- on the cusp of care and school placement breakdown.

A collaborative approach designed to meet the educational, therapeutic and social needs of our most complex and hard to reach young people will be developed to bring together CAHMS (and additional local mental health community agencies), Social Services, Educational Psychology Services, creative arts and sports organisations. The aim will be to encourage organisations to work together to provide high quality, bespoke programmes and interventions which adopt a family approach.

It will be important to ensure that, through this more collaborative approach, colleges and specialist independent providers falling outside the special school category deliver a good/outstanding offer to meet the individual needs of learners with SEND. Provision will need to be used flexibly and imaginatively to ensure that students have access to a combination of elements, academic and vocational, but also specialist resources and therapeutic interventions to establish a bespoke offer to meet need.

Referral to AP for children with SEND has a significant part to play in reducing permanent exclusions for this group. However, the rate of referral (from all routes) will need to be stringently monitored as will the outcomes achieved through this approach. It is important that a clear rationale is evidenced detailing the expectations, needs and capacity of the

students referred to alternative provision and whether provision is able to meet those needs and aspirations.

5. Ofsted's Three Year Alternative Provision Survey

The findings from Ofsted's three-year survey of schools' use of off-site alternative provision, published in February 2016, identified a number of areas for improvement in the delivery of alternative provision nationally. The weaknesses highlighted included:

- lack of alternative provider knowledge regarding child protection;
- poor assessment and reporting on academic progress and outcomes including target setting;
- inadequate monitoring of the quality of teaching and learning;
- poor provision evaluation and
- narrow curriculum offers

In response to the findings of this survey, which are not specific to Haringey, it is proposed that schools and AP Commissioning work together to ensure improved standards for AP.

An Alternative Provision Quality Assurance Handbook describing best practice regarding the AP Commissioning cycle will be disseminated to all Haringey secondary schools before the end of Autumn term.

6. Future Policy Developments

The Secretary of State for Education announced in March 2016 the intention to reform alternative provision so that schools remain accountable for the progress and educational outcomes of students in alternative provision following permanent exclusion until such time as they are admitted to another school.

This proposal would mean that 'permanent exclusion' represents a juncture in a child's relationship with his/her school but not a complete break. This reform would represent a significant shift in the relationship between schools and the local authority in terms of support and challenge of school exclusions thresholds. Protocols for communicating rights and responsibilities parents and children have in this process will be key.

There will be an accompanying shift in the funding regime such that schools will be responsible for the budgets from which alternative provision is funded but local authorities will be responsible for ensuring sufficiency of places. (Educational Excellence Everywhere DfE March 2016). This implies that funding for AP will transfer from the high needs to the schools block and that schools will be responsible for agreeing arrangements.

It is understood that implementation of the reforms is planned for 2019. More detail will emerge over coming months and Schools' Forum will be kept informed of developments.

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**Agenda Item
9**

Report Status

For information/note X
For consultation & views
For decision

Report to Haringey Schools Forum – Thursday 20th October 2016

Report Title: Early Help Service update

**Author: Jon Abbey. Director of Children's Services
Gareth Morgan. Head of Service, Early Help and Prevention**

Contact: 0208 489 4931 Email: Gareth.morgan@haringey.gov.uk

- 1. Purpose: To report on the implementation of a new approach to Early Help provision.**

Recommendations:

- 1. We recommend that members note the changes being introduced by the Early Help service in October 2016**

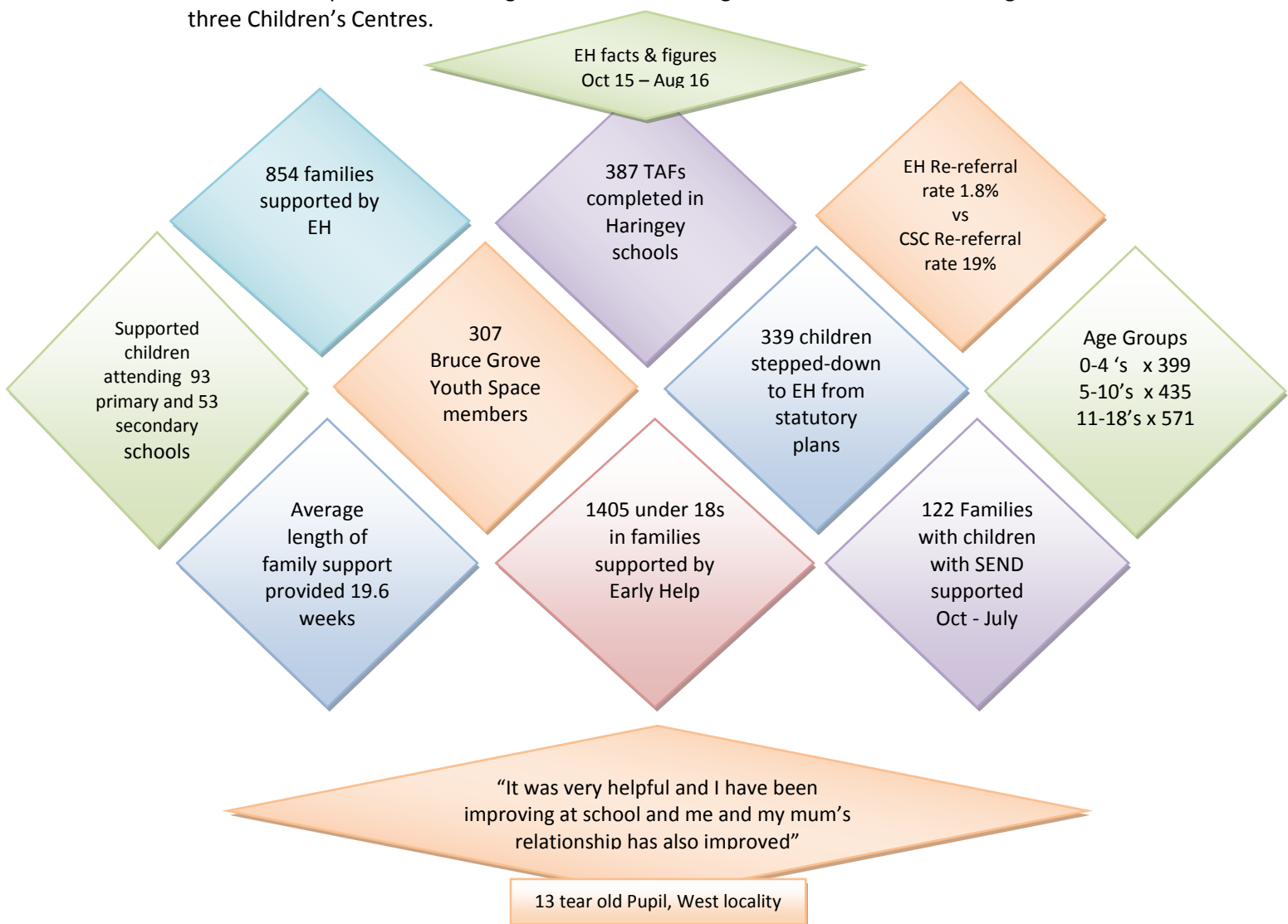
1. Report

1.1. Introduction and background

The Locality model for Early Help was introduced on 5th October 2015. This paper provides Schools Forum with an update on the service changes which are being introduced to respond to feedback from services, settings and families to enhance the service offer and increase the effectiveness of partnerships across the wider early help workforce.

“Evidence from Ofsted’s single inspections of local authorities and from this thematic inspection shows clearly that the offer of help to families when concerns first arise is increasingly prioritised by local authorities and their partners. As a result, more children are benefiting from better focused and coordinated support earlier. Early help workers increasingly feel part of professional networks and therefore are less isolated and more supported”. (Ofsted. ‘Early Help - Whose Responsibility?’ 2015)

Early Help locality teams deliver direct work with families enabling them to achieve improved outcomes. It also includes completing assessments, supporting and coordinating TAF’s and multi-agency activity and delivering agreed family action plans. The Early Help and Prevention Service also includes delivery of the governments Troubled Families agenda, Youth Service provision including the RPA and NEET agenda’s and the direct management of three Children’s Centres.



2. Continuous Improvement.

As has previously been reported at Schools Forum, the Early Help locality model has seen a significant increase in engagement levels with schools across the borough and children and young people supported by the service attend **93% of the borough schools**. Although this is a significant increase in reach (65% in October 2015), we recognise that accessing the service has not been as quick and effective for families and schools as we would want.

Delays in receiving a response and clear offer of support from Early Help has on occasion been unacceptably slow and in some cases has resulted in families not engaging with the service which can lead to escalation of problems. Responding to feedback from schools and families led to a Service Review being completed using the 'Lean Review' methodology, to identify key points in the early help process where efficiency could be safely improved.

We have also reviewed the way agencies access Early Help and how the offer to schools can be enhanced, to increase the partnership's ability to respond to children and families with emerging needs that are clearly at Tier 2, rather than retaining cases until they approaching the statutory threshold. In these cases, schools will be encouraged to discuss family needs directly with early help practitioners and managers and engage the service at the earliest opportunity.

As a result of the 'Lean Review' and some innovative thinking about how the service is seen and felt across universal settings, '**Conversations 4 Change**' has been developed by the council as its Early Help approach in Haringey, to promote the timeliness of the service response to families with emerging needs and to encourage and enable schools to access appropriate support for ongoing family work.

3. Conversations 4 Change

Conversations 4 Change (C4C) is a new approach and a different way of working that creates a clear separation between social care and early help in Haringey. By changing the emphasis from social care practice and rigid processes to a focus on meaningful dialogue and purposeful activity, the delays in responding to requests for service will be reduced – supported by ambitious service standards. The level of meaningful engagement will increase and consequently, improved outcomes for families will be achieved sooner and more sustainably.

In addition to the new internal processes, C4C is supported by a small number of Early Help specific documents which will enable and encourage practitioners from across the wider early help workforce to begin using common language and forms in relation to early intervention and share information in the same format. These documents will be available from the Early Help pages of the council's website.

Simplifying documentation and the adoption of common language will also enable colleagues in any setting to adopt or retain the role of 'lead practitioner', in order to maintain effective and productive relationships with families while accessing the additional specialist resources available through the Early Help service. This will provide additional support, skills and capacity for a defined period of time, without handing responsibility for ongoing coordination and family support to another service. This will prevent families having to repeat their stories and build new relationships with different practitioners when seeking support. This approach will help us maximise the capacity of the partnership and increase the value to families of the early help offer in Haringey.

The other significant change in Early Help is the service's ability to provide a more pro-active offer to settings in order to reduce delays in families receiving support and simplifying the administrative process for accessing Early Help.

By creating additional capacity within the service through process efficiencies the service will be able to attend meetings arranged by schools to meet with vulnerable families where there are emerging concerns and with families consent, where appropriate accept them for Early Help support - at source – no contact or referral via SPA. This offer will be rolled out incrementally following implementation.

The planned benefits from implementing C4C are summarised as;

- Supports effective response to early identification of need
- Provides universal settings with shorter pathway to non-statutory support
- Increased timeliness of allocation and initial contact and engagement with families
- Expand wider workforce capacity by development of lead practitioner model with access to specialist resources via the early help service
- Increased case throughput – through proportionate and realistic support plans which enable timely behavioural change
- Standardising the recording of evidence of impact using common format/tools across partnership (Outcome Star)
- Enable early help partnership practice to become embedded in localities
- Support ongoing needs assessment to informing/refining future delivery model
- Improved baseline data and evidence of progress to support maximum TF claims

4. Identified Cohort focus.

A further benefit of the increased capacity derived from implementation of the C4C approach, is the ability of the service to target some resource towards identified cohorts of young people, where it is known that without intervention their outcomes will be poor. For example, by using shared intelligence effectively, Early Help can be offered to families already known to services but where their escalating or poor behaviours can be influenced through direct early help support. This investment will not only benefit families through improved outcomes, but reduce demand on longer-term and high cost services across the partnership. Examples where we are looking to support identified cohorts are:

- Young people with SEND to support family relationships (see below)
- Young people at risk of permanent exclusion.
- First time entrants to Youth Justice System.
- Families subject to significant benefit cap impact.

SEND Champions: To support this targeted approach we have identified 7 early help practitioners to receive additional training so they can be SEND Champions within the Locality Teams, so that families who are struggling to manage behaviours and relationships with their children in the home where CDT thresholds are not met are supported to learn and implement effective strategies such as those adopted in schools with the ambition of enabling families to stay together and stay local. We are working closely with the CDT to design and deliver this training and identify families where this support would be most beneficial.

Conversations 4 Change launched on 12th October and Locality Managers will be contacting settings to offer and arrange on-site meetings. Further information about Conversations 4 Change is being distributed to universal settings and across public sites across the borough during October.

Gareth Morgan.
Head of Service,
Early Help and Prevention.

High Needs Block Sub-Committee

Minutes of the meeting held on
9th September 2016 10am-12.00 noon PDC

Members Present

Martin Doyle: Headteacher Riverside School – Chair
 Jean Brown: Governor The Vale
 Tony Hartney: Chair, Schools Forum
 Melian Mansfield: Pembury House Chair
 Marianne McCarthy: Governor Heartlands High School
 Mike McKenzie: Headteacher Alexandra Park School
 Margaret Sumner: Special Heads Rep
 Will Wawn: Primary Heads Rep

Also Present

Vikki Monk-Meyer: Head of Service: Special Educational Needs & Disabilities
 Deborah Tucker: Alternative Provision Commissioner
 Steve Worth: Schools Finance Manager
 Sarah Hargreaves: Clerk

1. Welcome, apologies for absence and acceptance

- 1.1 The Chair welcomed everyone present to the meeting and welcomed Jean Brown to her 1st HNB meeting.
- 1.2 No apologies have been received.

2. Membership

3. Review of Constitution

- 2.1 Will Wawn was confirmed as the primary heads rep.
- 2.2 It was noted that only School Forum (SF) Members should be listed as Members, all other persons previously listed will come by invite. LA staff are not SF members, even if they attend.
- 2.3 It was **agreed** that non SF Members could be part of this sub-committee as required.
- 2.4 It was **agreed** to invite Angela Ryan, interim Head of the Tuition Service to join the committee.

Action VMM

4. Mechanism for reporting to Schools Forum

- 4.1 It was **agreed** that the dates of these meetings should be 2 weeks before SF, so that minutes could be sent to SF via Carolyn Banks. Clerk to check on forthcoming SF dates.
- 4.2 Melian gave her apologies for being unable to attend the last SF meeting and so being unable to report back on the meeting.

Action Clerk

5. Minutes of 16th June 2016

- 5.1 The minutes of this meeting were **agreed** as a correct record of the meeting. Signed by the Chair and returned to Vikki for filing.

6. Matters arising from the minutes

- 6.1 Pt 3.2.10 Deborah circulated the minutes of the Tuition Service (TS) meeting held on 27th June as requested. She reported that the management restructuring at the Tuition Service was now complete and an interim head of centre had been appointed. **Members asked to be reminded**

why they had requested the minutes of recent meetings; Deborah said that it was because there had been interest in how TS changes could contribute towards the back to budget savings plan.

- 6.1.1 It was noted that a request has been made for building works to enable more effective use of the building; Property and Contracts are currently looking at options. Members expressed concern that this does not occur in isolation as several potential premises developments need to be co-ordinated.
- 6.1.2 Members agreed that it was important to remember that some students are at the TS because mainstream provision is not suitable for them and the premises need to reflect the need for a less formal environment. Not all students return to their original school, some go out borough and some work at home, not necessarily on a school site.
- 6.1.3 They are currently funded in a similar way to a special school; £10,000 per place plus top-ups.
- 6.2 Pt 3.2.3.1 Members were pleased to learn that the issues at the Parent Partnership Project at Markfield appear to have been resolved.

7. HNB Funding Formula Consultation – Steve Worth

- 7.1 The national funding formula is now scheduled to come into force in 2017-18. The HNB formula is also delayed. It is likely that there will be a Stage 2 consultation; possibly this term.
- 7.2 The EY consultation is out at the moment. There was an extra SF meeting held to discuss it.
- 7.3 There is clear overlap between the EY and HNB consultations. It was noted that there will be extra DLA funding for children within the EY block; although it will be hard to allocate as the expectation of level of care for these young children is already high.
- 7.4 Members urged all primary school colleagues to read the consultation. **Action All**
- 7.5 In 2017-18 the funding levels are likely to be similar to now. The funding for 2018-19 won't be known until after the 2nd state consultation.

8. 16-25 Proposals – Vikki Monk-Meyer

- 8.1 Vikki has discussed her proposal with Gill Gibson, (AD SEND and Head of Early Help). It would concern about 5-6 young people a year in each age of 16-19 yr olds; all with autism and high levels of need. They require a different offer to younger students, and one which needs to be systematic. "Preparing for adulthood" would be an important element of the offer.
- 8.2 Those aged 16+ would be joint funded with health. Members were reminded that the HNB is a LA fund, but the SF is consulted with.
- 8.3 Currently this group gets a variable offer and the DfE is still unclear as to their requirements. Other LAs are in a similar situation.
- 8.4 Now that "Ambitious" are based at CoNEL they are taking students with lower levels of need.
- 8.5 The visit to The Bridge is still to be arranged. **Action MD**
- 8.6 It is likely that building works would be required; corporate support would be needed for this. It is possible that they could be placed in an existing school location, with GB agreement, although there would be governance issues to consider as they are not of statutory school age.
- 8.7 Martin agreed to speak to Gill and John McGrath. Martin thought that it would still be possible to offer an initial service from September 2017. **Action MD**
- 8.8 Around 225 young people aged 18+ are currently being funded. The number is increasing as there is nowhere else for them to go.

9. The Grove free school update – Martin Doyle

- 9.1 Martin reported that it is possible that The Grove (a planned special school for 4-19s) will move to the PDC for at least a year, from September 2017, with a new build following later.
- 9.2 This plan will be discussed at the HHS Board shortly as it will form part of the HHS Trust.
- 9.3 It is anticipated that it will cater for 104 students with a range of needs.

- 9.4 Members discussed a proposal which has been made to have 3 smaller separate units attached to different schools rather than one large unit. It was **agreed** that this would not be the preferred option.

10. CQC Ofsted inspection – Vikki Monk-Meyer

- 10.1 To date there have been about 6 inspections across the country. Pre-assessment children have been the focus of these inspections. They have been interested in:
- the joint work being undertaken with health visiting and public health
 - how needs have been identified and met and how outcomes have been improved for children and young people.
- 10.2 The inspectors have also been interested in:
- how reporting is undertaken to parents
 - the role of EY and private providers – Vikki will be briefing all providers. **Action VMM**
 - the rate of conversions from statements to EHCPs (all conversions are due to be completed by April 2018; currently 20% have been completed)
 - how the whole area is benefiting from the SEND work undertaken
- 10.3 There is a useful inspection handbook. Vikki to circulate to members. **Action VMM**
- 10.4 The SEND strategy and the Communications strategy will also be circulated. **Action VMM**

11. The Family School - pre-commissioned places - Deborah Tucker

- 11.1 It is proposed that 3 KS2+3 places are commissioned from the Family School, based in Angel, Islington, at a cost of £26,000 each, which is cheaper than spot purchasing. It is a holistic service which engages with the whole family. The re-integration rate back into mainstream schooling is good; this is important as they do not currently offer KS4 places. Pupils will remain dual registered.
- 11.2 The Family School is planning to move to new premises near Kings Cross so that they can accommodate 48 students.
- 11.3 This project will be added to the LBH website. **Action DT**
- 11.4 Members asked if this project could cater for some of the children who currently stay too long within the Tuition Service. This could be considered.
- 11.30am Mike McKenzie & Tony Hartney leave the meeting.

11a. The Octagon

- 11a1 The current contract for the Octagon was circulated.
- 11a2 They provide services for KS2,3,4.
- 11a3 Funding: 58 places (46 secondary and 12 primary) are provided via a mixture of LA and EFA funding for Haringey based children only. Their services to primary pupils are considered to be better than those for secondary pupils. Social Care have expressed concerns with the secondary provision.
- 11a4 They have not yet had an Ofsted inspection.
- 11a5 Top-up funding is provided up to £10,000pa for 10 children. This was considered to be high given the outcomes achieved. Members asked for more evidence of pupil outcomes. **Action DT**
This funding is in addition to the place cost of £23,000.
- 11a6 On average 15-16 pupils are catered for at any one time.
- 11a7 It is planned to commission less places and place pupils elsewhere.
- 11a8 Members asked for clarity as to whether any savings would be held by the borough or the HNB. **Action SW**

12. Early Help – model of delivery - Vikki Monk-Meyer

- 12.1 Links between home and school require development.
- 12.2 It is planned that 7 workers will work with pupils with autism and ADHD in mainstream settings.

13. Update on back to Budget Savings Plan – Vikki Monk-Meyer

- 13.1 The latest Plan has been to Schools Forum. £20,000 has been saved on out borough placements.
- 13.2 It is proposed to reduce the pre-commissioned places in children centres from 2017-18. The impact on children centres is still to be worked through. There will be a further review of this proposal in January 2017. **Action VMM**
- 13.3 Schools Forum has allocated £500,000 to support schools with disproportionate percentages of pupils with special needs; **members asked how the formula was arrived at.** It is on a standard deviation based on the size of the school. Members considered this to be a step in the right direction, but still too broadbrush as some schools have very high % of pupils with special needs.
- 13.4 **Will Wawn** volunteered to assist Vikki and Steve at looking at the figures for primary schools and suggested **Mike McKenzie** for the secondaries. It was noted that the funding is weighted towards the primaries as secondaries already receive block funding. **Action WW, MMcK, VMM, SW**

14. AOB

- 14.1 No items.

14.2 Dates of future meetings: at 10am at the PDC.

It was **agreed** that meetings should be 2 weeks before School Forum meetings.

Proposed dates are:

15th November

3rd February

5th May

9th June

There being no further business the meeting ended at **12.05pm**.

Signed

Date

**Agenda Item
11**



Report Status

For information/note
For consultation & views
For decision

Report to Haringey Schools Forum – 20th October 2016

Report Title: Schools Forum Work Plan 2016-17 Academic Year.

Author:

Steve Worth – Finance Manager (Schools and Learning)
Contact: 0208 489 3708 Email: Stephen.worth@haringey.gov.uk

Purpose: To inform the Forum of the updated work plan for the 2016-17 academic year and provide members with an opportunity to add additional items.

Recommendations:

That the updated work plan for the 2016-17 academic year is noted.

1. Schools Forum

- 1.1. It is good practice for Schools Forum to maintain a work plan so that members ensure that key issues are considered in a robust and timely way.
- 1.2. Members of the Forum are asked to consider whether there are any additional issues that should be added to the work plan for the next Academic Year.
- 1.3. This work plan will be included on the agenda for each future meeting so that members are able to review progress and make appropriate updates.

Haringey Schools Forum - Work Plan Academic Year 2015-16

1 December 2016

- Dedicated School Budget Strategy 2017-18, including funding formula.
- High Needs Block.
- Early Years Block, including funding formula.
- School Improvement
- Updates from working parties.

12 January 2017

- Update on Dedicated Schools Budget Strategy 2017-18.
- Growth Fund 2016-17.
- High Needs Block.
- Early Years Block
- Early Help and Preventative services update.
- Updates from working parties.

23 February 2017

- Scheme for Financing Schools
- Update on Dedicated Schools Budget Strategy 2017-18.
- The Schools Internal Audit Programme
- Update from working parties.

18 May 2017

- Arrangements for the education of pupils with special educational needs.
- Administrative arrangements for the allocation of central government grants paid to schools via the authority.
- Early Help and Preventative services update.
- Update from working parties.

29 June 2017.

- Dedicated Schools Budget Outturn 2016-17.
- Outcome of Internal Audit Programme 2016-17.
- Forum Membership.
- Work plan 2017-18.
- Update from working parties.

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